

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	A.L. STUART et al.	Examiner	Stephen C. Elmore
Serial No.	10/737,367	Group Art Unit	2185
Filed	December 15, 2003	Docket No.	SJO920030096US1
TITLE	METHOD, SYSTEM, AND PROGRAM FOR IMPLEMENTING RETENTION POLICIES TO ARCHIVE RECORDS		

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being transmitted via the EFS-Web system to Examiner Stephen C. Elmore of the U.S. Patent and Trademark Office on June 1, 2006.

/David Victor/
David W. Victor

AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This amendment is submitted in response to a first non-final office action in the above case dated March 1, 2006 in which the Examiner allowed claims 1-14, objected to the Specification, and rejected claims 15-41 as directed to non-statutory subject matter (35 U.S.C. §101), and for not being supported by a substantial utility that would enable one skilled in the art to use the claimed invention (35 U.S.C. §112, par. 1). Applicants traverse the objections to the Specification and have amended the independent “system” and “article of manufacture” claims 15, 26, 28, and 40 to overcome the Sections 101 and 112 rejections. Applicants submit that all pending claims 1-41 are now in condition for allowance for the reasons discussed herein. Applicants further submit herewith an Information Disclosure Statement (IDS).

Amendments to the Claims are reflected in the listing of claims which begins on page 2.
Remarks/Arguments begin on page 11.